ITEM 1 COVER PAGE

Jason Eliot Siperstein
ELIOT ROSE WEALTH MANAGEMENT, LLC

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CONTACT:
Jason Eliot Siperstein
Chief Compliance Officer
10 Dorrance Street, Suite 700
Providence, Rhode Island 02903

This Brochure Supplement provides information about Jason Eliot Siperstein that supplements the Eliot Rose Wealth Management, LLC Brochure; you should have received a copy of that Brochure.

Please contact Jason Eliot Siperstein, Chief Compliance Officer, if you did *not* receive Eliot Rose Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jason Eliot Siperstein is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2 EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jason Eliot Siperstein was born in 1985. Mr. Siperstein graduated from Emory University in 2007, with a Bachelor of Arts degree in Consulting and Venture Management. Mr. Siperstein has served as Eliot Rose Wealth Management, LLC's Chief Compliance Officer since June 2019, and has been an investment adviser representative of Eliot Rose Wealth Management, LLC since April 2011. From September 2007 through October 2009, Mr. Siperstein was a Compensation Analyst with Watson Wyatt and from October 2009 through August 2010, Mr. Siperstein was a Wealth Manager with Laidlaw & Co. (UK) Ltd. From September 2010 through March 2011, Mr. Siperstein was a Consultant with Influx.

Mr. Siperstein has been a CFA® Charter Holder since September 2014. CFA® designates an international professional certificate that is offered by the CFA Institute.

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 190,000 CFA charter holders working in 170 countries. To earn the CFA charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

HIGH ETHICAL STANDARDS

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charter holders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity

- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

COMPREHENSIVE AND CURRENT KNOWLEDGE

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Mr. Siperstein has been a CERTIFIED FINANCIAL PLANNER™ since March of 2015.

Mr. Siperstein is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

Education – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor's degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor's or higher degree or completed a financial planning development capstone course.

Examination – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

Experience – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

Ethics – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

Ethics – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

Continuing Education – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Mr. Siperstein holds the Retirement Management Analyst (RMA℠) designation. The Retirement Management Analyst (RMA℠) designation is a rigorous educational and ethics training curriculum that teaches financial advisors the RIIA Advisory Process to provide successful retirement income solutions to clients. The RMA℠ designation is focused specifically on key concepts and practical applications of retirement income planning and management, as well as the construction of portfolios to support retirement income. It does not cover the basics of retirement rules (IRAs, employer plans, etc.) or investments and other retirement income products and services that are covered in many other traditional venues. On completion of course work an exam must be passed and twenty hours of continuing education annually are required. The RMA℠ designation is the only designation to focus specifically on providing retirement income planning and management starting with the broad context of understanding the client's needs, human capital, social capital, as well as financial capital.

ITEM 3 DISCIPLINARY INFORMATION

Mr. Siperstein has not been the subject of any disclosable events.

ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation

ITEM 5 ADDITIONAL COMPENSATION

None.

ITEM 6 SUPERVISION

Eliot Rose provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of Registrant's Rule 206(4)-7 policies and

procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). Registrant's Chief Compliance Officer, Jason Siperstein, is primarily responsible for the implementation of Eliot Rose's policies and procedures and overseeing the activities of Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Registrant's supervision or compliance practices, please contact Mr. Siperstein at (401) 588-5102.

ELIOT ROSE WEALTH MANAGEMENT
401-588-5102 • ksb@eliotrose.com